

FIT AND PROPER QUESTIONNAIRE (INDIVIDUAL PENSION TRUSTEE)

Application Number: (For official use only)	
Name of Applicant:	

GLOSSARY

1. "Corporation" means: Any body corporate, incorporated in Jamaica or elsewhere.
2. "Relevant Activity" means: (a) Banking, finance, insurance, money-lending, money management, cambio operations, bureau de change, foreign exchange remittance business, debt-factoring, hire purchase financing, leasing or other financial activities (b) Dealing in Securities (c) Providing investment or financial advice and management services.
3. "Immediate Relative" as respects any person, means: The spouse, children (including stepchildren) and their spouses, parents, and brothers or sisters of that person.

1. Name of Trustee: _____
2. Name of Superannuation Fund or Retirement scheme in connection with which this questionnaire is being completed: _____
3. (a) Occupation: _____ (b) Name of Employer: _____
4. Have you at any time been charged with, convicted of any offence, or otherwise found liable by Civil, Criminal or Military Court (excluding minor road traffic offences) whether in Jamaica or elsewhere? Yes No
5. Has suit ever been brought against you in your personal capacity or against any body corporate, partnership, society or any other business undertaking to which you are/were connected as a shareholder, partner, director or manager? Yes No
6. Have you ever been refused entry to any profession or vocation whether in Jamaica or elsewhere? Yes No
7. Have you ever been the subject of investigation/disciplinary procedures, censured, disciplined or publicly criticised by any professional body to which you belong or have belonged whether in Jamaica or elsewhere? Yes No
8. Have you ever been dismissed or requested to resign from any office or employment whether in Jamaica or elsewhere? Yes No
9. Have you ever been censured, disciplined or publicly criticised by, or made the subject of a Court Order whether in Jamaica or elsewhere? Yes No
10. Have you ever, in any jurisdiction:
 - a. been adjudged bankrupt by a Court? Yes No
 - b. had a receiving or administrative order made against you? Yes No
 - c. had your estate sequestrated? Yes No
 - d. entered into any arrangement, or other composition with your creditors? Yes No
11. Has any bank or other financial institution with which you or any business undertaking owned, controlled or managed by you does business, ever threatened or commenced legal action/court proceedings or declined doing any new business with you or your undertaking as a result of outstanding debts owed by you or the undertaking, or due to your not honouring other facilities afforded you (e.g. guarantee, etc.) by these institutions? Yes No
12. Do you (in your personal capacity or through any entity controlled by you) and/or an immediate relative have outstanding any loans or other forms of indebtedness, inclusive of the guarantee of the debts and obligations of any third party, in excess of J\$500,000.00? Yes No
13. Has any loan or credit facility (or part thereof) extended to you by any financial or lending institution, been restructured, renegotiated, provided against or been the subject of a write-off or debt forgiveness for reasons of non-payment by you? Yes No
14. Has any financial or lending institution (which has extended credit facilities to you), had to refer, assign or sell your loan./indebtedness to any private or public sector collection agency or any other institution for reasons of non-payment by you? Yes No

