

**FINANCIAL SERVICES COMMISSION  
CHECKLIST FOR REGISTRATION  
REPRESENTATIVES OF DEALERS /INVESTMENT ADVISERS**

*Below is a list of documents for registration with the Financial Services Commission. In order to start the registration process, each item on this list MUST be included in the package sent to the FSC. Please note that the documents will be returned if all the required documents are not included. The registration process will begin when all documents are received.*

<b>Document</b>	<b>Relevant Section of Application Form B</b>	<b>Regulations</b>	<b>Included (Please <input checked="" type="checkbox"/>)</b>
<b>Police Report</b>	<b>12</b>		
Certificate of Marriage	4(a)		
Copy of Deed Poll	4(d)		
Copy of Passport	4(e)		
Two (2) color passport-sized photographs	4(f)		
Verification of academic qualifications	5	R.5(b)	
Three (3) references (written) - Fit and proper person - Experience -	8(a)		
Signature, authorization, sworn declaration	18		
\$5,000 non-refundable application fee		R.3(1)	
Confidential Reports from two previous employers			

**All copies must be certified by a Justice of the Peace or can be certified at the Commission on presentation of original documents**

Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date Submitted: \_\_\_\_\_

FSC USE

Received by: \_\_\_\_\_

All applicable documents included: Yes \_\_\_  
No \_\_\_

Date Received: \_\_\_\_\_