



FORM 3

Application Number: (For official use only)

THE PENSIONS (SUPERANNUATION FUNDS AND RETIREMENT SCHEMES) ACT, 2004

**The Pensions (Superannuation Funds and Retirement Schemes)
(Registration, Licensing and Reporting) Regulations, 2006**

**APPLICATION FOR REGISTRATION OF
TRUSTEES (INDIVIDUALS)**

TRUSTEE (INDIVIDUAL) PERSONAL DATA

- 1) Last Name: 4) Previous Name (if any):
- 2) First Name:..... 5) Date Changed (if applicable): []/[]/[]
dd mm yyyy
- 3) Middle Name(s): 6) The reason for the change:

7) Date of Birth: []/[]/[]
dd mm yyyy

8) Place of Birth:

9) TRN 10) FSC Registration No., if known

11) Telephone No:	14) Residential Address:	15) Business Address (if applicable):
12) Facsimile No:		
13) E-mail address:		16) Telephone No:.....

17) What is the name of the fund/scheme that you are applying to be registered as a trustee for?
Fund/Scheme Name:.....

18) FSC Registration No. of Fund/Scheme, if known:

19) Type of trustee: Sponsor [] Member Nominated [] Pensioner []

20) Does the applicant desire to be registered at this time as the trustee for any other Fund or Scheme?
Yes [] No []

If yes, please complete the section below:

Name of Superannuation Fund/Retirement Scheme	FSC Registration No.	Type of Trustee

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DISCLOSURES

- 21) Have you ceased being a trustee of any fund or scheme during the last 5 years? Yes [] No []
If yes, complete Form 4
- 22) Have you ever applied for a licence or registration under any law of Jamaica or any other country regulating financial institutions or institutions or persons providing financial services? Yes [] No []
- 23) Has any licence or registration issued to you under any law of Jamaica or any other country regulating financial institutions or institutions or persons providing financial services been revoked, cancelled or suspended? Yes [] No []
- 24) Have you ever been convicted of an offence involving dishonesty? Yes [] No []
- 25) Have you ever been adjudged bankrupt?
- 26) Are there any current proceedings or outstanding litigation against you? Yes [] No []
- 27) Have you ever been engaged in or accused of deceitful or oppressive or improper business practice? Yes [] No []
- 28) Have you ever been found guilty of contravening any enactment designed to protect the public? Yes [] No []
- 29) Have you ever carried out or been accused of any act involving impropriety in the handling of monies? Yes [] No []
- 30) Are you incapacitated by any mental disability? Yes [] No []

- 31) How long have you been employed to the current organization -----
- 32) Name and address of previous employer's organization -----

- 33) Period of employment with previous organization: From ----- To: -----
- 34) State the reason for termination of employment -----

The following documents have been attached to this application form

- (1) Letter of intent to appoint to the Board of Trustees of the Fund or Scheme Yes [] (2) Identification Yes []

APPLICATION AND DECLARATION

I hereby apply, under Part II of the Pensions (Superannuation Funds and Retirement Schemes) Act, 2004, for registration as a Trustee of the named funds/named schemes above.

I declare that to the best of my knowledge, information and belief all the information given in support of this application is true and correct and I consent to the Financial Services Commission obtaining information from any source as permitted by the laws of Jamaica or any other jurisdiction for the purpose of verifying information furnished in this application.

.....
Signature of Applicant

.....
Date

.....
Witnessed by