

## GENERAL REGISTRATION INFORMATION

### CORPORATE ENTITIES - DOCUMENT CHECKLIST

	Yes	No
<b><u>Corporate Trustee</u></b>		
1. Completed <b>Application for licensing of Administrators or Investment Managers and Registration of Corporate Trustees</b>	[ ]	[ ]
2. Incorporation documents	[ ]	[ ]
3. Audited Financial Statements for the last three financial years	[ ]	[ ]
4. Latest Annual Report	[ ]	[ ]
5. Certification of tax compliance, valid as at the date of application	[ ]	[ ]
6. List of shareholders and shareholdings of parent company or ultimate holding company if latter is different	[ ]	[ ]
7. Documents pertaining to the designated <i>Responsible Officer (see Checklist)</i>	[ ]	[ ]
8. Corporate Organization Chart showing (parent, ultimate holding, fellow subsidiaries and associated companies)	[ ]	[ ]
9. List of <i>covered persons</i>	[ ]	[ ]
10. Fit and Proper Questionnaire for each <i>covered person</i>	[ ]	[ ]
11. Certified copies of the academic certificates for each <i>covered person</i>	[ ]	[ ]
12. Resume and Police Report for each <i>covered person</i>	[ ]	[ ]
13. Confidential report from current and previous employers for each <i>covered person</i>	[ ]	[ ]
14. Letter of intent to appoint to the Board of Trustee of a Superannuation Fund or Retirement Scheme	[ ]	[ ]
15. Application fee	[ ]	[ ]

.....  
Name

.....  
Signature