

GENERAL REGISTRATION INFORMATION

SUPERANNUATION FUNDS - DOCUMENT CHECKLIST

	Yes	No
<u>Existing Fund - Approved by Taxpayer Audit and Assessment Department ("T.A.A.D")</u>		
1. Completed Application for Registration of a Superannuation Fund	[]	[]
2. Two (2) photocopies of:		
I. The Trust Deed (duly stamped at the Office of Stamp Duty and Transfer Tax) made pursuant to the Income Tax Act prior to amendment for compliance with the Pensions Act	[]	[]
II. Plan Rules	[]	[]
III. Any duly stamped Amendment to the original Trust Deed and/or Plan Rules made pursuant to the Income Tax Act prior to amendment for compliance with the Pension Act	[]	[]
3. A. The original of the amending Trust Deed (duly stamped by the Office of Stamp Duty and Transfer Tax) and a photocopy, as well as the original and photocopy of the amending Plan Rules made pursuant to the Pensions (Superannuation Funds and Retirement Schemes) Act, 2004 . The amendments should be identified clearly and labeled alphabetically prior to submission	[]	[]
OR where a Single Replacement document is done, the following should be submitted:		
B. The original "replacement" Trust Deed (duly stamped by the Office of Stamp Duty and Transfer Tax) and a photocopy, as well as the original and photocopy of the "replacement" Plan Rules made pursuant to the Pensions (Superannuation Funds and Retirement Schemes) Act, 2004 . The amendments should be identified clearly and labeled alphabetically prior to submission	[]	[]
4. Statement in writing from T.A.A.D. evidencing approval of the original plan and any subsequent amendment made pursuant to the Income Tax Act giving the SA reference number	[]	[]
5. Schedule showing where conditions outlined in Section 13(2) of the Act, appear in the documents	[]	[]
6. Member Handbook	[]	[]
7. Most recent formal Actuarial Valuation (the effective date of which is within three (3) years of the date of application)	[]	[]
8. Annual Financial Statements for the last three (3) completed plan years, audited where applicable	[]	[]
9. Application Fee	[]	[]

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Name

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Signature