

GENERAL REGISTRATION INFORMATION

RESPONSIBLE OFFICERS - DOCUMENT CHECKLIST

	Yes	No
1. Completed Application for Registration of Responsible Officer	[]	[]
2. Photocopy of valid drivers licence, national ID card or the first four pages of a valid passport, certified by a Justice of the Peace, Notary Public, Attorney-at-Law.	[]	[]
3. Two (2) recent colour passport-size certified photographs	[]	[]
4. Certified copies of educational certificates	[]	[]
5. Original references from three (3) individuals	[]	[]
6. Letter from current or former employer confirming years of service in pension administration, investment management or related industry	[]	[]
7. Completed Fit and Proper Questionnaire		
8. Resume	[]	[]
9. Confidential Report	[]	[]
10. Police Report	[]	[]
11. Application Fee	[]	[]

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Name

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Signature