

STOCKS & SECURITIES LIMITED (UNDER TEMPORARY MANAGEMENT BY THE FINANCIAL SERVICES COMMISSION)

To Our Valued Clients,

As part of our ongoing commitment to facilitate your regaining of access to your account, we now provide you with an update on the required steps. Please carefully review the information below and provide the necessary information if not previously submitted.

1. Your Client Record must be up to date

Clients are required to submit the following Know Your Customer (KYC) documents with any account related requests:

- KYC Update Form
- Valid Government Issued Identification.
- Taxpayer Registration Number (TRN) Card/Letter (if driver's licence is not being used)

2. Transfer of Cash Balances & Local Securities

Once cash balances are verified and your records are up to date, based on instructions you provide, the following transfers can be facilitated:

- transfer of cash balances to a financial institution of your choice; and
- transfer of local securities to a broker of your choice.

(a) Cash Balances - Banking Information (If applicable)

Client accounts with cash balances will be returned to clients via electronic transfer in the shortest possible time. In order to execute these transfers, clients with cash balances must provide the banking details outlined below:

- Bank Name and Branch
- Account Number
- Currency
- Account Type
- Name(s) on Account
- Routing Number

NB. Third party transactions will not be executed. Payments will only be made to account holders.

(b) Local Securities - Broker Account Information

No later than four (4) weeks from the date of this Notice, clients with Local Equities and



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BROKERAGE

Fixed Income Securities held at the Jamaica Central Securities Depository (JCSD), are required to indicate your preferred receiving broker information as outlined below.

- The name of the Receiving Broker
- JCSD account number

NB. Your account at the receiving broker should be in the same name as your current SSL account.

Other Portfolio Holdings

Transfer of other portfolio holdings will be facilitated in the second phase of the process. Clients will be advised of the next steps, within short order.

Should you require assistance, please reach out to our Service Team by email at sslserviceteam@sslinvest.com or by telephone at 876-929-3400 or 876-618-2979.

The team is committed to completing the process in the shortest possible time; however, some delays are to be anticipated as this is a manual process.

We thank you for your cooperation and appreciate your continued patience.