



PUBLIC NOTICE BY THE FINANCIAL SERVICES COMMISSION

DELTA CAPITAL PARTNERS LIMITED & DELTA CAPITAL HOLDINGS LIMITED

TUESDAY, SEPTEMBER 29, 2026

Kingston, Jamaica – The Financial Services Commission (“FSC”) issues this public notice in the interest of the Jamaican public, pursuant to section 68(1A) of the Securities Act in respect of matters under the Securities Act which have been brought into the public domain.

The FSC hereby advises the public that **Delta Capital Partners Limited**, with offices located at 4 Hopefield Avenue, Kingston 6, Jamaica, and **Delta Capital Holdings Limited** (together, “**the Delta Companies**”) are **not** licensed by the FSC to conduct securities business in Jamaica as securities dealers or investment advisors, or in any capacity.

Securities dealers are licensed to conduct securities business, which includes, but is not limited to, dealings in stocks, bonds, debentures, shares, promissory notes and convertible loan agreements.

Investment advisors are individuals or entities that are licensed to carry on investment advice business. This includes, providing advice or recommendations concerning whether a client should invest in, purchase, or sell particular securities, as well as make investment decisions concerning securities.

Individuals or entities who contravene the Securities Act by conducting securities business and/or by carrying on investment advice business without being licensed by the FSC to do so, commit an offence which is punishable by a term of imprisonment and/or a fine. Members of the public risk among other things, significant financial loss, should they choose to do business with an unlicensed entity or person, engaging in these activities.

The following are among the activities that the Delta Companies, and all other unlicensed entities or individuals, are not licensed to do:

- i. Acquiring shares, bonds, units or other securities on behalf of clients;
- ii. Issuing written promises to repay a specified sum of money, either on demand or at a specified future date, in exchange for money provided by an investor;



- iii. Entering into financing arrangements where the investor lends money to a company with the intent for the loan to be converted into shares or other equity in the company;
- iv. Disposing of securities on behalf of clients or arranging such sales;
- v. Inducing or attempting to induce a person to make or offer to make an agreement concerning securities;
- vi. Undertaking or arranging to underwrite an issue of securities;
- vii. Facilitating or arranging agreements for the acquisition, disposal, subscription or underwriting of securities;
- viii. Making agreements, the purpose of which is to secure profit or gain to a person who acquires, disposes of, subscribes for or underwrites securities or to any of the parties to the agreement in relation to the securities; and
- ix. Making agreements with respect to managing investments in securities.

The investing public is strongly encouraged to contact the FSC to verify whether individuals or entities that conduct, or purport to conduct, securities or investment advice business are duly licensed by the FSC. The public is cautioned that investing with unlicensed individuals or entities carries a significant risk of financial loss, including the potential loss of funds invested. A listing of the entities which are licensed by the FSC to conduct securities and investment advice business can be found on the FSC's website at www.fscjamaica.org.

Individuals may contact the offices of the FSC for additional information via email at inquiry@fscjamaica.org.

*The Financial Services Commission
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